

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

DT09 Rec'd PCT/PTO 27 SEP 2004

Applicant(s): Elke Jahn and Niraj Agrawal  
Serial No.: Not Yet Assigned  
Filed: Herewith  
For: NETWORK MANAGEMENT SYSTEM  
Art Unit: Not Yet Assigned  
Examiner: Not Yet Assigned  
Confirmation No.: Not Yet Assigned  
Customer No.: 27623

Attorney Docket No.: 693.0002USU

Date: September 27, 2004

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with applicants' duty of disclosure under 37 C.F.R. §1.56, we are enclosing form PTO-1449 listing information that may be material to the patentability of this application.

It should be understood that attention has been called to the citations that have been deemed to be pertinent to the claimed present invention. In concluding what was pertinent, the criteria employed was considered most appropriate in light of the invention shown in the present application. However, the Examiner or others may deem some other criteria to be just as appropriate or more appropriate. Therefore, the Examiner is respectfully urged to review the listed citations and to make the usual careful independent search for other prior art that may be pertinent.

Since this Information Disclosure Statement is being filed herewith the filing date of this application, no petition or fee is required.

10/509133

Applicants respectfully request favorable consideration and that this application be passed to allowance.

Respectfully submitted,



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FORM PTO-1449

INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number (Optional)

693.0002USU

Applicant

Jahn and Agrawal

Filing Date

Herewith

Application Number

Not Yet Assigned

Group Art Unit

Not Yet Assigned

10/509133

U. S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,363,416	3/26/02	Naeimi et al.	709	209	

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

	Harris, "Bridging the Network Management Gap: How Element Managers can Streamline SONET Network Management and Provide a Migration Path for Broadband Management", Fujitsu Network Transmission Systems, IEEE 1995, pages 219-225
	Cukier et al., "AquA: An Adaptive Architecture that Provides Dependable Distributed Objects", IEEE 1998, pages 245-253

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.